Agents, Reasoning, and LLMs In Healthcare

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A Survey on Medical Large Language Models: Technology, Application, Trustworthiness, and Future Directions

LIU ET AL. ANT GROUP, ZJU & CUHK DEC 2024

Organization of the Survey

- Background
- General2Specific
- Algorithm
- Application
- Trustworthiness & Safety
- Future Direction



Background & Technology





• Making a LLM to be a doctor



Retrieval Augmented Generation (RAG)

• Specific Med-LLMs

| Model | Year | Method | Training Data |
|--------------------|------|--------|--------------------------------|
| BioBERT [143] | 2019 | PT | Medical Abstracts and Articles |
| ClinicalBERT [144] | 2020 | PT | Clinical notes |
| BioMegatron [145] | 2020 | PT | Medical Literature |
| PubMedBERT [36] | 2021 | PT | Medical Literature |
| KeBioLM [146] | 2021 | PT | Medical Literature |

| • • • • • • | • | • | • | • | • | • | |
|-------------|---|---|---|---|---|---|--|
|-------------|---|---|---|---|---|---|--|

| WiNGPT [169] | 2023 | PT, IFT | Medical and General Knowledge |
|------------------|------|---------------|--------------------------------------|
| Taiyi-LLM [170] | 2023 | PT, IFT | Biomedical Datasets |
| Zhongjing [171] | 2023 | PT, SFT, RLHF | Medical Books, ChatMed, Medical Wiki |
| Med-Gemini [172] | 2024 | IFT | Medical Knowledge, Clinical Cases |
| Health-LLM [173] | 2024 | IFT | PMData, LifeSnaps, GLOBEM, AW_FB |



Improving Algorithms for Med-LLMs



Applying Medical LLMs



• Multifarious Applications



Unique Challenges for Med-

LLMs

- Protected Health Information
- Clinical Workflows
- Safety and Accountability

Trustworthiness and Safety

- Fairness
 - Research has revealed **biases in healthcare**, and LLMs are able to capture these biases from training data.
- Accountability
 - Lack of accountability in LLMs is recognized as an **obstacle** hindering its application in the medical field.
- Privacy
 - **Data privacy** is an important challenge for medical applications.
- Robustness
 - One future research direction is to explore the construction of effective adversarial test samples in the medical field to evaluate the robustness of large language models in the medical field.

Future Directions

- Algorithmic advancements
 - E.g., multimodal learning, robot-assisted learning
- Industrial transformations
 - E.g., preventative and precision medicine, medical documentation
- Policy developments
 - Clear guidelines and standards for the development, validation, and

monitoring of Med-LLMs

A Survey of Large Language Models for Healthcare: from Data, Technology, and Applications to Accountability and Ethics

HE ET AL. NUS & NTU JAN 2025

Organizational Framework



Organizational Framework

From PLMs to LLMs for healthcare

Summarization about the strengths and weaknesses of PLMs and LLMs by different tasks.

| Task | PLMs features |
|-----------------------------|---------------------------------------------------------|
| Information extraction | Need labeled data |
| Text classification | Easy to adapt |
| Semantic textual similarity | Skilled at short contexts and fundamental tasks |
| Question answering | Limited language understanding and generation abilities |
| Dialogue system | Consist of multiple components |
| Report generation | Limited generation abilities and only single modality |

| LLMs features | Comparison |
|--------------------------------------------|-----------------------------------|
| Zero-/few-shot | Have their own unique strengths |
| Explainable and reliable | LLMs have a slight advantage |
| Skilled at long contexts and complex tasks | Depend on text length |
| Better inherent professional knowledge | LLMs have a significant advantage |
| End-to-end system | LLMs have a significant advantage |
| Multimodal LLMs | LLMs have a significant advantage |

From PLMs to LLMs for healthcare

• Summary of the performance for the three most popular

datasets used to evaluate Healthcare LLMs

| (%) | USMLE | MedMCQA | PubMedQA |
|--------------|------------|------------|------------|
| FT BERT | 44.62 [67] | 43.03 [60] | 72.20 [67] |
| Galactica | 44.60 | 77.60 | 77.60 |
| PMC-LLaMA | 44.70 | 50.54 | 69.50 |
| GatorTronGPT | 42.90 | 45.10 | 77.60 |
| DoctorGLM | 67.60 | - | - |
| MedAlpaca | 60.20 | _ | _ |
| Codex | 60.20 | 62.70 | 78.20 |
| Med-PaLM | 67.60 | 57.60 | 79.00 |
| Med-PaLM | 67.60 | 57.60 | 79.00 |
| Aloe-Alpha | 71.01 | 64.47 | 80.20 |
| Med-PaLM 2 | 86.50 | 72.30 | 81.80 |
| GPT-4 | 86.70 | 73.66 | 80.40 |
| Human | 87.00 | 90.00 | 78.00 |

Usage and data for healthcare LLM

- Usage
 - From Fine-tuning to In-context Learning
 - From *Medprompt* to o1: Exploration of Run-Time Strategies for Medical Challenge

Problems and Beyond

- From System 1 To System 2 Chain-of-Thought
 - From Medprompt to **o1**: Exploration of Run-Time Strategies for Medical Challenge

Problems and Beyond

- Al Agents
 - The rise of agentic AI teammates in medicine

Usage and data for healthcare LLM

- Healthcare training data
 - EHR
 - E.g., MIMIC III, MIMIC IV, CPRD
 - Scientific Literature
 - E.g., PubMed, PubMed Central
 - Web Data
 - E.g., COMETA (from Reddit), WebText

Usage and data for healthcare LLM

• Assessment of computation requirements

| Model Name | Total data size | GPU type | GPU no. | GPU time |
|-------------------|--------------------------|----------|---------|-----------|
| Visual Med-Alpaca | 54k data points | A100-80G | 4 | 2.51 h |
| GatorTron | >90 billion words | A100 | 992 | 6 days |
| Galactica | _ | A100-80G | 128 | _ |
| ChatDoctor | 100k conversations | A100 | 6 | 3 h |
| DoctorGLM | 3.5G | A100-80G | 1 | 8 h |
| PMC-LLaMA | 75B tokens | A100 | 8 | 7 days |
| Visual Med-Alpaca | 44.8MB* (without images) | A100-80G | 4 | 2.51 h |
| BianQue 1.0 | 9 million samples | RTX 4090 | 8 | 16 days |
| GatorTronGPT | 277B tokens | A100-80G | 560 | 26 days |
| HuatuoGPT | 226,042 instances | A100 | 8 | _ |
| LLaVA-Med | 15M image-caption pairs | A100 | 8 | 15 h |
| Med-Flamingo | 1.3M image-caption pairs | A100-80G | 8 | 6.75 days |

The statistics of computation cost for existing Healthcare LLM.

Discussion

- Healthcare core issues
 - NLP technologies and their related healthcare applications (issues)

The rise of agentic AI teammates in medicine

JAMES ZOU, ERIC J TOPOLB THE LANCET, 2025

Introduction

- From Tools to Teammates:
 - Shift from using AI as passive, reactive tools to proactive, agentic teammates.
 - AI agents can **autonomously** monitor healthcare systems, retrieve data, and track long-term patient histories
- Key agentic capabilities of AI agents
 - LLMs have rapidly improved their **reasoning** and problem-solving abilities
 - LLMs have become more adept at interacting with the external environment and using **tools**
 - Collaborations between multiple AI agents with complementary expertise have expanded their capability to address open-ended challenges

Example of Agentic AI in Medicine

• MedAgents (Tang et al., 2024)

A 66-year-old male with a history of heart attack and recurrent stomach ulcers is experiencing persistent cough and chest pain, and recent CT scans indicate a possible lung tumor. Designing a treatment plan that minimizes risk and maximizes outcomes is the current concern due to his deteriorating health and medical history.

Figure 1: Diagram of our proposed MEDAGENTS framework. Given a medical question as input, the framework performs reasoning in five stages: (i) expert gathering, (ii) analysis proposition, (iii) report summarization, (iv) collaborative consultation, and (v) decision making.

Example of Agentic AI in Medicine

• MDAgents (Kim et al., 2024)

Figure 1: Medical Decision-Making Agents (MDAgents) framework. Given a medical query from different medical datasets, the framework performs 1) medical complexity check, 2) recruitment, 3) analysis and synthesis, and 4) decision-making steps.

Potentials of AI agents in Medicine

 Instead of juggling multiple tools (e.g., analyze medical images, search clinical guidelines), the clinician could interact with a single manager agent, which is able to orchestrate these tools.

Safety and Regulation

- Frameworks for **evaluating** and regulating AI agents
 - Existing assessments typically focus on AI performance for a narrow medical output.
- **Confabulation** by AI agents
 - Continuous performance monitoring will be essential
- Boundaries for AI agent autonomy
 - Initial deployments might focus on low-risk administrative tasks in controlled virtual environments
- **Training** of healthcare professionals
 - Healthcare professionals would also need training to effectively collaborate with these semi-autonomous AI teammates.

More Example on GenAl for Medicine

- Problem:
 - Traditional therapeutic antibody
 design is expensive, time consuming, and constrained by
 high-dimensional search spaces.
- Solution:
 - The paper introduces the Lab-inthe-loop (LitL) system, an Aldriven iterative optimization
 framework to automate and accelerate antibody design.

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From Medprompt to o1: Exploration of Run-Time Strategies for Medical Challenge Problems and Beyond

NORI ET AL. MICROSOFT & OPENAI NOV 2024

Introduction

- Problem Statement:
 - Traditional prompt engineering methods (e.g., Medprompt) improve performance on medicine by using dynamic chain-of-thought (CoT) reasoning, few-shot prompting, and ensembling.
- New Paradigm:
 - The o1-preview model integrates run-time reasoning internally during training, making it "reasoning-native".
 - This model challenges the necessity of prompting strategies.

Background: Medprompt

Figure 3: Visual illustration of Medprompt components and additive contributions to performance on MedQA. The prompting strategy combines kNN-based few-shot example selection, GPT-4-generated chain-of-thought prompting, and answer-choice shuffled ensembling. Relative contributions of each component are shown at the bottom. Figure from [NLZ⁺23b]

Background: Medprompt

Figure 1: (a) Comparison of performance on MedQA. (b) GPT-4 with Medprompt achieves SoTA on a wide range of medical challenge questions.

Background: o1-preview

• Uses reinforcement learning to "think" before producing final

responses.

Prompt

Suppose you are taking a medical exam. Please help me answer the following multiple-choice questions and give explanations.

"question":"A child presented with abdominal distension, hepatomegaly, doll like facies and recurrent episodes of hypoglycemia. Which of the following is the most likely diagnosis?"

"opa":"Menkes disease","opb":"Down syndrome","opc":"Von gierke disease","opd":"Lesch nyhan syndrome"

O1-preview

To determine the most likely diagnosis for the child described, let's analyze the key clinical features provided:

- 1. Abdominal distension and hepatomegaly: These symptoms indicate an enlarged abdomen and liver, suggesting storage of substances in the liver.
- 2. **Doll-like facies**: This refers to a characteristic facial appearance with fat cheeks, often seen in some metabolic disorders.
- 3. Recurrent episodes of hypoglycemia: Frequent low blood sugar levels indicate a problem with glucose metabolism or regulation.

Now, let's examine each option: Option A: Menkes Disease

> Menkes disease is an X-linked recessive disorder caused by defective copper absorption and transport due to mutations in the ATP7A gene.

Evaluation of OpenAI o1: Opportunities and Challenges of AGI

- Medical benchmarks:
 - MedQA, MedMCQA, MMLU (Medical subset), NCLEX (Nurse licensing exam), JMLE-2024
- Official preparatory materials offered by NBME
 - USMLE Sample Exam
 - USMLE Self Assessment
- Evaluation metrics:
 - Accuracy for multiple-choice questions (MCQ)

• Setting: Quick Response

Quick Response

Please answer the following question as quickly as possible. We have narrowed down the possibilities to four different answers. I am in an emergency, and speed is of utmost importance. It is more important to answer quickly than it is to analyze too carefully. Return just the answer as quickly as possible.

QUESTION

 $\{\texttt{question}\}$

ANSWER CHOICES

```
{answer choices}
```

Please remember to answer quickly and succinctly. Time is of the essence!

Figure 10: Prompt that elicits the model to respond with less reasoning and completion tokens.

• Setting: Extended Reasoning

Extended Reasoning

Please answer the following multiple choice question. Take your time and think as carefully and methodically about the problem as you need to. I am not in a rush for the best answer; I would like you to spend as much time as you need studying the problem. When you're done, return only the answer.

QUESTION

 $\{question\}$

```
# ANSWER CHOICES
```

```
{answer choices}
```

Remember, think carefully and deliberately about the problem. Take as much time as you need. I will be very sad if you answer quickly and get it wrong.

Figure 11: Prompt that elicits the model to respond with more reasoning and completion tokens.

• Setting: Tailor Prompting

Tailored - Medical Questions

You are tasked with solving complex medical questions that assess both the knowledge and clinical reasoning required for a medical licensing exam. These questions cover critical topics such as anatomy, physiology, pathology, pharmacology, and patient management. Read the following question carefully and select the most accurate answer from the provided options.

```
**Question**:
{question}
```

```
**Options**:
{answer choices}
```

****Instructions**:**

- Think deeply and thoroughly, then choose the best possible answer from the given options (only one choice).

- Your final response must contain only the letter corresponding to the correct answer (e.g., "A"). Do not include explanations or additional text in your output.

```
**Answer**:
```

Figure 12: Prompt for medical questions requiring knowledge and clinical reasoning.

- Performance Gains:
 - o1-preview outperforms GPT-4 enhanced with Medprompt on several benchmarks.

| Dataset | $\begin{array}{c} \text{GPT-4}^{*} \\ (0 \text{ shot}) \end{array}$ | GPT-4-Turbo ^{**} (5 shot) | * GPT-40 (0 shot) | GPT-4 Turbo ^{**} (Medprompt) | |
|-----------------------|---------------------------------------------------------------------|---------------------------------------|------------------------|------------------------------------------|-----------------------|
| MedQA (US 4-option) | 78.9% | 81.4% | 84.4% | 90.2% | $\boldsymbol{96.0\%}$ |
| JMLE-2024 | - | 87.3% | 92.7% | 92.7% | 98.2% |
| MedMCQA Dev | 69.5% | 72.4% | 76.4% | 79.1% | 83.9% |
| MMLU | | | | | |
| Clinical Knowledge | 86.0% | 86.4% | 89.1% | $\mathbf{95.8\%}$ | 93.6% |
| Medical Genetics | 91.0% | 92.0% | 96.0% | 98.0% | $\mathbf{99.0\%}$ |
| Anatomy | 80.0% | 80.0% | 88.2% | 89.6% | $\mathbf{93.3\%}$ |
| Professional Medicine | 93.0% | 93.8% | $\mathbf{97.4\%}$ | 95.2% | 97.0% |
| College Biology | 95.1% | 95.1% | 95.1% | 97.9% | $\mathbf{98.6\%}$ |
| College Medicine | 76.9% | 76.9% | 85.6% | 89.0% | 90.2% |

Table 1: Performance of different models on a suite of medical benchmarks.

* Results originally reported in [NKM⁺23] on the initial GPT-4 model release. ** Experimental results originally reported in [NLZ⁺23b], except JMLE-2024.

• Performance Gains:

 – o1-preview outperforms GPT-4 enhanced with Medprompt on several benchmarks.

Table 2: Comparative analysis of performance of different models on USMLE Sample Exam and USMLE Self Assessment.

| Dataset | $GPT-4^*$ (0 shot) | GPT-40 (0 shot) | o1-preview (0 shot) |
|-----------------------|-----------------------|--------------------|------------------------|
| USMLE Sample Exam | | | |
| Step 1 | 80.7% | 89.8% | $\mathbf{91.6\%}$ |
| Step 2 | 81.7% | 89.1% | $\mathbf{92.5\%}$ |
| Step 3 | 89.8% | 93.1% | $\mathbf{96.4\%}$ |
| USMLE Self Assessment | | | |
| Step 1 | 83.5% | 87.5% | 92.4% |
| Step 2 | 84.8% | 91.8% | $\mathbf{93.4\%}$ |
| Step 3 | 81.3% | 80.6% | 80.7% |

^{*} Results reported originally n [NKM⁺23] on the initial GPT-4 model release.

- Impact of Prompting:
 - Five-shot prompting significantly decreases performance on MedQA

Figure 5: Comparison of prompting techniques on MedQA with the o1-preview model. Error bars indicate one standard deviation from three independent samples.

- Impact of Prompting:
 - Ensemble improves performance across tasks

- Role of Reasoning Tokens
 - Performance improves when the model is explicitly told to spend

longer amounts of time reasoning

Impact of Reasoning Tokens

• Accuracy and Cost Frontiers

- Metareasoning Principles and Machinery
 - High-level metareasoning methods can facilitate runtime decision making by dynamically allocating computing resources across different generative processes and their combinations.
- Guiding LLM Inference and Sampling
 - Incorporating token steering mechanisms directly into model training may unlock further capabilities
 - E.g., entropy-based sampling techniques

- Optimizing Input for LLMs
 - In-Context Learning
 - Determining how to efficiently provide relevant examples and additional context to optimize performance—especially in models such as o1-preview remains a promising area of research
 - Integrating External Resources at Runtime
 - An essential avenue for further enhancing these models lies in their ability to actively acquire information at run-time from **external sources** such as the web and knowledge bases (KBs)

- Reasoning
 - Chain-of-Thought prompting
 - Structured problem-solving
 - ReAct, skeleton-based prompting, and tree-based reasoning
 - Training LLMs to improve real-time reasoning
 - Self-Taught Reasoner (STaR)
 - Process supervision
 - Let's Verify Step-by-Step (Process reward model)
 - Scaling test-time computation
 - Reinforcement learning

- Leveraging Multiple Runs and Models
 - Ensembling
 - Simple majority voting is a popular approach for aggregating outputs
 - Sophisticated methods are emerging
 - Ensemble Refinement, LLM-Blender
 - A major challenge with ensembling is the **computational cost**
 - Model Federation and Multi-Agent Architectures
 - Agent frameworks and multi-agent orchestration enable models to dynamically select and integrate the tools required to solve a given problem

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